Baylor University has received additional Higher Education Emergency Relief Funds (HEERF III) through the American Rescue Plan (ARP), 2021, Public Law 117-2, signed into law on March 11, 2021. ARP funds are in addition to funds authorized by the Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (CRRSAA), Public Law 116-260 and the Coronavirus Aid, Recovery, and Economic Security (CARES) Act, Public Law 116-136. Emergency funds available to institutions and their students under all emergency funds total $76.2 billion.

The following responses comply with the Higher Education Emergency Relief Fund (HEERF III) reporting and disclosure requirements. Totals published below are for HEERF III/ARP Student Grants (CARES3) awarded and disbursed as of September 30, 2021.

1) An acknowledgement that the institution signed and returned to the Department the Certification and Agreement and the assurance that the institution has used the applicable amount of funds designated under the CRRSAA and ARP (a)(1) and (a)(4) programs to provide Emergency Financial Aid Grants to Students.

   On April 24, 2020, President Livingstone signed Baylor’s Certification and Agreement concerning the emergency financial aid grants to students under Section 18004(c) of the CARES Act. The Grants.gov application was submitted on April 27, 2020.

2) The total amount of funding that Baylor will receive or has received from the Department pursuant to the institution’s Certification and Agreement for Emergency Financial Aid Grants to Students under the CRRSAA and ARP (a)(1) and (a)(4) programs.

   Baylor’s allocation total for HEERF III/ARP funds is $27,529,483 of which at least $13,764,742 is required to be awarded as emergency financial aid grants directly to students.

3) The total amount of Emergency Financial Aid Grants distributed to students under the CRRSAA and ARP (a)(1) and (a)(4) programs as of the date of submission (i.e., as of the initial report and every calendar quarter thereafter).

   As of 09/30/2021, Baylor has paid $10,156,000 in CARES3 awards funded by HEERF III/ARP.

4) The estimated total number of students at the institution that are eligible to receive Emergency Financial Aid Grants to Students under the CRRSAA and ARP (a)(1) and (a)(4) programs.

   The estimated total number of students at Baylor who are eligible to receive Emergency Financial Aid Grants under the ARP program is approximately 20,626 students.

5) The total number of students who have received an Emergency Financial Aid Student Grant under the CRRSAA and ARP (a)(1) and (a)(4) programs.

   As of September 30, 2021 Baylor has awarded 4,988 students.
(6) The method(s) used by the institution to determine which students receive Emergency Financial Aid Grants and how much they would receive under the CRRSAA and ARP (a)(1) and (a)(4) programs.

Due to the increased allocation associated with ARP funding, Baylor University’s plan for awarding student grants will be accomplished using a phased spending approach.

**Phase 1 Selection Criteria – Direct Grant**
Undergraduate students, excluding those seeking a Post Baccalaureate degree who on August 5:

- Were currently enrolled in *Summer 2021* term for 9 or more hours,
- Were eligible for federal financial aid as demonstrated by valid FAFSA submission,
- Had paid Pell Grant during 2021 aid year (Fall 2020, Spring 2021 or Summer 2021), and
- Were meeting Satisfactory Academic Progress standards.

Approximately $240K was earmarked for phase 1 spending and these funds have been fully spent.

**Phase 2 Selection Criteria – Direct Grant**
Undergraduate, Post Baccalaureate, Graduate, Online Graduate and Professional students (excluding non-degree seeking students) who on September 24:

- Were enrolled in *Fall 2021* term;
  - 12 or more hours as an undergraduate,
  - 12 or more hours as a post baccalaureate,
  - 9 or more hours as an graduate student,
  - 6 or more hour as an online graduate student,
  - 10 or more quarter hours as a Law student.
- Were eligible for federal financial aid as demonstrated by have a valid FAFSA on file at Baylor, and
- Had a FAFSA Expected Family Contribution (EFC) that is
  - less than $20,000 for undergraduate and post baccalaureate
  - less than $15,000 for graduate, law and online graduate/professional
- Were meeting Satisfactory Academic Progress standards.

Approximately $10M was earmarked for phase 2 spending and these funds have been fully spent.

**Phase 3 Selection Criteria – Electronic Application**

Any remaining ARP student emergency grant funds will be awarded during *Spring 2022* through the use of an online application.

(7) Any instructions, directions, or guidance provided by the institution to students concerning the Emergency Financial Aid Grants.

Student’s who met the Phase 1 and/or Phase 2 ARP selection criteria were emailed a “Funded Letter.” A sample of the letter can be found here.