

Determinantal Formulas for Exceptional Orthogonal Polynomials

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- eXceptional Orthogonal Polynomials

XOP

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- Polynomial eigenfunctions of second order linear differential operators with rational coefficients.

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- Polynomial eigenfunctions of second order linear differential operators with rational coefficients.
- They exist outside the classical Bochner classification because they omit polynomials of certain degrees.

Darboux Transformations

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- Write it as

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is a rational Darboux transformation of T .

- The factorization of T in this form is not unique.

Classification Theorem

Theorem (García-Ferrero, Gómez-Ullate, & Milson (2019), JMAA)

Every exceptional orthogonal polynomial system can be obtained by applying a finite sequence of Darboux transformations to a classical orthogonal polynomial system.

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Every exceptional orthogonal polynomial system can be obtained by applying a finite sequence of Darboux transformations to a classical orthogonal polynomial system.

- All exceptional orthogonal polynomial sequences are labeled as Exceptional Jacobi, Exceptional Laguerre, or Exceptional Hermite.

Properties

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- What properties are common to classical orthogonal polynomials and XOP and what is different?
- Recurrence relations - they exist but in a different form (Duran)
- Zeros... its complicated.
- Determinantal formulas
 - previous XOP work by Duran, Kelly, Liaw, Osborn

OPRL Determinantal Formulas

$$M_n = \begin{pmatrix} & & \int t^{i+j-2} d\mu(t) & & \\ & & & & \\ 1 & x & & & \\ & & \dots & & \\ & & & \dots & x^n \end{pmatrix}$$

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$$M_n = \begin{pmatrix} \int t^{i+j-2} d\mu(t) \\ 1 & x & \dots & \dots & x^n \end{pmatrix}$$

$$\det(M_n) = P_n(x; \mu)$$

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- Analogous XOP determinantal formulas for X_m polynomials when m is small by Kelly, Liaw, and Osborn.

OPRL Determinantal Formulas

$$T_n = \begin{pmatrix} 1 & z_1 & z_1^2 & \cdots & z_1^n \\ 1 & z_2 & z_2^2 & \cdots & z_2^n \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & z_n & z_n^2 & \cdots & z_n^n \\ 1 & x & \cdots & \cdots & x^n \end{pmatrix}$$

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$$\det(T_n) = C \prod_{j=1}^n (x - z_j)$$

and C is a Vandermonde determinant

OPRL Formulas

$$P_n^{(\alpha, \beta)}(x) = \sum_{j=0}^n \binom{n+\alpha}{n-j} \binom{n+\beta}{j} \left(\frac{x-1}{2}\right)^j \left(\frac{x+1}{2}\right)^{n-j}$$

$$L_n^\alpha(x) = \sum_{j=0}^n \binom{n+\alpha}{n-j} \frac{(-x)^j}{j!}$$

X_m -Jacobi Polynomials

- Depend on $m \in \mathbb{N}$ and $\alpha, \beta \in \mathbb{R}$ and require
 - $\beta > 0$
 - $\alpha + 1 - m > 0$
 - $\alpha + 1 - m - \beta \notin \{0, 1, \dots, m - 1\}$

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- If $n \geq m$, then $P_{m,n}^{(\alpha,\beta)}(x)$ is a constant multiple of

$$\frac{(x-1)(1+\alpha+\beta+n-m)}{2} P_m^{(-\alpha-1,\beta-1)}(x) P_{n-m-1}^{(\alpha+2,\beta)}(x) \\ + (\alpha+1-m) P_m^{(-\alpha-2,\beta)}(x) P_{n-m}^{(\alpha+1,\beta-1)}(x)$$

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- Most zeros in $(-1, 1)$, but m can be outside this interval.

New Formula

- Let $\{z_{j,N}^{(\alpha,\beta)}\}_{j=1}^N$ denote the zeros of $P_N^{(\alpha,\beta)}$ and

$$\{W_{j,m,n}^{(\alpha,\beta)}\}_{j=1}^n = \{z_{j,m}^{(-\alpha-1,\beta-1)}\}_{j=1}^m \cup \{z_{k,n-m}^{(\alpha+1,\beta-1)}\}_{k=1}^{n-m},$$

which consists of n distinct points.

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$$R_n(x, \beta) = (-1)^n P_n^{(-n-1,\beta)}(1 + 2x).$$

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which consists of n distinct points.

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$$R_n(x, \beta) = (-1)^n P_n^{(-n-1,\beta)}(1+2x).$$

- Let M_n be the $(n+1) \times (n+1)$ matrix whose j^{th} row is

$$\left[1, W_{j,m,n}^{(\alpha,\beta)}, \left(W_{j,m,n}^{(\alpha,\beta)}\right)^2, \dots, \left(W_{j,m,n}^{(\alpha,\beta)}\right)^n \right]$$

for $j = 1, \dots, n$ and let the last row of M_n be

$$\left[\frac{1}{\beta(1)}, \frac{-1}{\beta(2)} R_1(x), \frac{2!}{\beta(3)} R_2(x), \dots, \frac{(-1)^n n!}{\beta(n+1)} R_n(x) \right].$$

New Formula

Theorem (S. 2022, submitted)

For $n \geq m$,

$$P_{m,n}^{(\alpha,\beta)}(x) = \frac{C_{n,m,\alpha,\beta}}{\prod_{i < j} (W_{j,m,n}^{(\alpha,\beta)} - W_{i,m,n}^{(\alpha,\beta)})} \det(M_n)$$

Exceptional Laguerre Polynomials

- Type I: $\alpha > 0$, $m \in \mathbb{N}$, $n \geq m$

$$L_{m,n}^{I,\alpha}(x) = L_m^\alpha(-x)L_{n-m}^{\alpha-1}(x) + L_m^{\alpha-1}(-x)L_{n-m-1}^\alpha(x)$$

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- Type II: $\alpha > m - 1$, $m \in \mathbb{N}$, $n \geq m$

$$L_{m,n}^{II,\alpha}(x) = xL_m^{-\alpha-1}(x)L_{n-m-1}^{\alpha+2}(x) + (m-\alpha-1)L_m^{-\alpha-2}(x)L_{n-m}^{\alpha+1}(x)$$

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- Type III: $\alpha \in (-1, 0)$, $m \in \mathbb{N}$, $n = 0$ or $n > m$

$$L_{m,n}^{III,\alpha}(x) = xL_{n-m-2}^{\alpha+2}(x)L_m^{-\alpha-1}(-x) + (m+1)L_{m+1}^{-\alpha-2}(-x)L_{n-m-1}^{\alpha+1}(x)$$

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$$L_{m,n}^{III,\alpha}(x) = xL_{n-m-2}^{\alpha+2}(x)L_m^{-\alpha-1}(-x) + (m+1)L_{m+1}^{-\alpha-2}(-x)L_{n-m-1}^{\alpha+1}(x)$$

- For any $\tau \in \mathbb{C}$, let $\{x_{j,N}^{(\tau)}\}_{j=1}^N$ denote the zeros of L_N^τ .

New Formula (Type I)

Theorem (S. 2022, submitted)

$$\{X_{j,m,n}^{(\alpha)}\}_{j=1}^n = \{-X_{j,m}^{(\alpha-1)}\}_{j=1}^m \cup \{X_{k,n-m}^{(\alpha-1)}\}_{k=1}^{n-m}.$$

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Let M_n^I be the $(n+1) \times (n+1)$ matrix whose j^{th} row is

$$\left[1, \left(X_{j,m,n}^{(\alpha)} \right), \left(X_{j,m,n}^{(\alpha)} \right)^2, \dots, \left(X_{j,m,n}^{(\alpha)} \right)^n \right]$$

for $j = 1, \dots, n$ and let the last row of M_n^I be

$$\left[\frac{1}{\alpha}, \frac{x}{1+\alpha}, \frac{x^2}{2+\alpha}, \dots, \frac{x^n}{n+\alpha} \right].$$

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$$\left[\frac{1}{\alpha}, \frac{x}{1+\alpha}, \frac{x^2}{2+\alpha}, \dots, \frac{x^n}{n+\alpha} \right].$$

$$L_{m,n}^{I,\alpha}(x) = \frac{C_{n,m,\alpha}}{\prod_{1 \leq i < j \leq n} (X_{j,m,n}^{(\alpha)} - X_{i,m,n}^{(\alpha)})} \det(M_n^I)$$

New Formula (Type II)

Theorem (S. 2022, submitted)

$$\{Y_{j,m,n}^{(\alpha)}\}_{j=1}^n = \{x_{j,m}^{(-\alpha-1)}\}_{j=1}^m \cup \{x_{k,n-m}^{(\alpha+1)}\}_{k=1}^{n-m}.$$

Let M_n^{II} be the $(n+1) \times (n+1)$ matrix whose j^{th} row is

$$\left[1, Y_{j,m,n}^{(\alpha)}, \left(Y_{j,m,n}^{(\alpha)} \right)^2, \dots, \left(Y_{j,m,n}^{(\alpha)} \right)^n \right]$$

for $j = 1, \dots, n$ and let the last row of M_n^{II} be

$$[E_0(x), E_1(x), 2!E_2(x), 3!E_3(x), \dots, n!E_n(x)]$$

where $E_n(x) = (-1)^n L_n^{-n-1}(x)$.

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for $j = 1, \dots, n$ and let the last row of M_n^{II} be

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where $E_n(x) = (-1)^n L_n^{-n-1}(x)$.

$$L_{m,n}^{II,\alpha}(x) = \frac{C_{n,m,\alpha}}{\prod_{1 \leq i < j \leq n} (Y_{j,m,n}^{(\alpha)} - Y_{i,m,n}^{(\alpha)})} \det(M_n^{II})$$

New Formula (Type III)

Theorem (S. 2022, submitted)

$$\{Z_{j,m,n}^{(\alpha)}\}_{j=1}^{n-1} = \{-x_{j,m}^{(-\alpha-1)}\}_{j=1}^m \cup \{x_{k,n-m-1}^{(\alpha+1)}\}_{k=1}^{n-m-1}.$$

Let M_n^{III} be the $n \times n$ matrix whose j^{th} row is

$$\left[1, \left(Z_{j,m,n}^{(\alpha)} \right), \left(Z_{j,m,n}^{(\alpha)} \right)^2, \dots, \left(Z_{j,m,n}^{(\alpha)} \right)^{n-1} \right]$$

for $j = 1, \dots, n-1$ and let the last row of M_n^{III} be

$$\left[1, \frac{x}{2}, \dots, \frac{x^{n-1}}{n} \right].$$

$$L_{m,n}^{III,\alpha}(x) = \frac{x C_{n,m,\alpha}}{\prod_{1 \leq i < j \leq n-1} (Z_{j,m,n}^{(\alpha)} - Z_{i,m,n}^{(\alpha)})} \det(M_n^{III}) + L_{m,n}^{III,\alpha}(0)$$

Exceptional Hermite Polynomials

- Define a partition $\lambda = (\lambda_1, \dots, \lambda_m) \in \mathbb{N}^m$ where

$$\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_m \geq 1$$

$$|\lambda| = \lambda_1 + \dots + \lambda_m.$$

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- The generalized Hermite polynomial H_λ is

$$H_\lambda = \text{Wr}[H_{\lambda_m}, H_{\lambda_{m-1}+1}, \dots, H_{\lambda_2+m-2}, H_{\lambda_1+m-1}],$$

Exceptional Hermite Polynomials

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- Exceptional Hermite polynomial $H_{|\lambda|,n}^{(\lambda)}$

$$H_{|\lambda|,n}^{(\lambda)} = \text{Wr}[H_{\lambda_m}, H_{\lambda_{m-1}+1}, \dots, H_{\lambda_2+m-2}, H_{\lambda_1+m-1}, H_{n-|\lambda|+m}]$$

New Formula

Theorem

Let $\{y_{j,N}\}_{j=1}^N$ denote the zeros of H_N and let

$$\{U_{j,n}\}_{j=1}^{n-1} = \left\{ \frac{i}{\sqrt{2}}, \frac{-i}{\sqrt{2}} \right\} \cup \{y_{k,n-3}\}_{k=1}^{n-3}.$$

Let $M_n^{\{1,1\}}$ be the $n \times n$ matrix whose j^{th} row is

$$\left[1, (U_{j,n}), (U_{j,n})^2, \dots, (U_{j,n})^{n-1} \right]$$

for $j = 1, \dots, n-1$ and let the last row of $M_n^{\{1,1\}}$ be

$$\left[1, x/2, \dots, x^{n-1}/n \right]$$

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$$\left[1, x/2, \dots, x^{n-1}/n \right]$$

$$H_{2,n}^{\{1,1\}}(x) = x \cdot C_n \prod_{1 \leq i < j \leq n-1} (U_{j,n} - U_{i,n})^{-1} \det(M_n^{\{1,1\}}) + H_{2,n}^{\{1,1\}}(0)$$

Proof for $L_{m,n}^{II,\alpha}$

- Recall

$$\{Y_{j,m,n}^{(\alpha)}\}_{j=1}^n = \{x_{j,m}^{(-\alpha-1)}\}_{j=1}^m \cup \{x_{k,n-m}^{(\alpha+1)}\}_{k=1}^{n-m}$$

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- Let \tilde{M}_n^{II} be the $(n+1) \times (n+1)$ matrix whose j^{th} row is

$$\left[1, \left(Y_{j,m,n}^{(\alpha)} - 1\right), \left(Y_{j,m,n}^{(\alpha)}\right) \left(Y_{j,m,n}^{(\alpha)} - 2\right), \dots, \left(Y_{j,m,n}^{(\alpha)}\right)^{n-1} \left(Y_{j,m,n}^{(\alpha)} - n\right) \right]$$

for $j = 1, \dots, n$ and with last row $[1, x, x^2, \dots, x^n]$.

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$$\left[1, (Y_{j,m,n}^{(\alpha)} - 1), (Y_{j,m,n}^{(\alpha)} - 1)(Y_{j,m,n}^{(\alpha)} - 2), \dots, (Y_{j,m,n}^{(\alpha)})^{n-1} (Y_{j,m,n}^{(\alpha)} - n) \right]$$

for $j = 1, \dots, n$ and with last row $[1, x, x^2, \dots, x^n]$.

- The exceptional condition:

$$\frac{d}{dx} L_{m,n}^{II,\alpha}(x) - L_{m,n}^{II,\alpha}(x) = (\alpha + n + 1 - 2m) L_m^{-\alpha-1}(x) L_{n-m}^{\alpha+1}(x)$$

Proof for $L_{m,n}^{II,\alpha}$

- Write

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- If

$$\vec{c} = \begin{pmatrix} c_{0,n} \\ c_{1,n} \\ \vdots \\ c_{n,n} \end{pmatrix}, \quad \vec{b}_{II} = \begin{pmatrix} 0 \\ \vdots \\ 0 \\ L_{m,n}^{II,\alpha}(x) \end{pmatrix},$$

then $\tilde{M}_n^{II} \vec{c} = \vec{b}_{II}$.

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then $\tilde{M}_n^{II} \vec{c} = \vec{b}_{II}$.

- $\det(\tilde{M}_n^{II})$ has the same zeros as $L_{m,n}^{II,\alpha}(x)$.

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then $\tilde{M}_n^{II} \vec{c} = \vec{b}_{II}$.

- $\det(\tilde{M}_n^{II})$ has the same zeros as $L_{m,n}^{II,\alpha}(x)$.
- Column operations make \tilde{M}_n^{II} into M_n^{II} .

Thank you for your attention.
Happy Birthday Lance!