CONFLICT OF INTEREST
CERTIFICATION, DISCLOSURE AND INFORMATION STATEMENT

TO BE COMPLETED AND FILED WHEN A CONFLICT OF INTEREST BECOMES KNOWN, BUT IN
NO EVENT NO LESS FREQUENTLY THAN ANNUALLY, BY REGENTS OF BAYLOR UNIVERSITY

ANNUAL CERTIFICATION OF REVIEW OF CONFLICT OF INTEREST POLICY

On this date of _____________________, I have reviewed the Conflict of Interest Policy.

____________________________________
Signature

DISCLOSURES
(If there are no Conflicts of Interest, please state NONE in each CATEGORY below.)

A Conflict of Interest is any proposed, existing or potential financial or other interest or
relationship of the Regent, a member of the Regent’s Immediate Family, or an Associate of the
Regent that actually impairs, may impair (depending on context), or could be perceived by a
reasonable person to impair, a Regent’s duty to independently act in the best interest of Baylor.

CATEGORY I: Proposed, existing or potential financial or other interest or relationship of the
Regent, a member of the Regent’s Immediate Family, or an Associate of the Regent with:

        Baylor University, its officers, employees, or agents.

____________________________________
____________________________________
____________________________________

CATEGORY II: Proposed, existing or potential financial or other interest or relationship
(including an interest as director, officer or member) of the Regent, a member of the Regent’s
Immediate Family, or an Associate of the Regent with:

        Any outside entity or interest that relates to Baylor’s business.

____________________________________
____________________________________
____________________________________
CATEGORY III: Proposed, existing or potential financial or other interest or relationship of the Regent, a member of the Regent’s Immediate Family, or an Associate of the Regent with:

Any other Regent.

________________________________________

________________________________________

________________________________________

________________________________________

Signature

Approved by the Board of Regents on July 25, 2008