



# Securities

## Advisory Services

Standard and Poor's Outlook

[Online Database](#)

Value Line Investment Survey

Ref Desk [HG 4501 .V26](#)

\*\*\*Also available online

*Previous years of Value Line from 1982 to date are filed with the periodicals on microfiche.*

*Issues from 1997 to present are available online under "Historical Reports" on the Value Line database.*

Value Line is an investment advisory service which is updated weekly. Value Line contains a summary and index (located at the Reference Desk), a selection and opinion section and ratings and reports. Value Line analyzes stocks in major industries, contains charts, statistics, and explanatory text. By using the summary and index section, information can be located by company or by industry. Previous years of Value Line from 1982 to date are filed with the periodicals on microfiche.

## Stocks, Bonds and Mutual Funds

How to Invest the smart way in stocks, bonds, and mutual funds

Moody Gen Coll [HG 4921 .L623](#)

Market guide (Over the counter stock edition)

Ref [HG 4916 U54](#)

Mergent Bond Record

Moody Gen Coll [HG 4905 M78 IT7](#)

Moody's Credit Survey

Ref [HG 4905 .M785](#)

Moody's Handbook of Common Stock

Ref [HG 4905 M815](#)

Morningstar Mutual Funds Resource Guide

Ref [HG 4930 .M858x](#)

Morningstar Funds 500

Ref [HG 4530 .M664 2006](#)

Mutual Fund Fact Book

Ref [HG 4930 .M85](#)

Mutual Fund Values

Ref [HG 4930 .M857x](#)

Standard & Poor's Creditweek

Ref [HG 4501 .S7662](#)

Standard and Poor's Stock and Bond Guide

Ref [HG 4501 S76653X](#)

Standard and Poor's Stock Reports

American Stock Exchange

Ref [HG 4905 .S44](#)

New York Stock Exchange

Ref [HG 4905 .S443](#)

Over-the-Counter

Ref [HG 4905 .S444](#)

Each two page company profile gives fundamental position, recent developments, earnings and balance sheet data, capitalization, prospects, etc. For each profile read the summary, current outlook, important developments, and business summary.

## Dealers

Standard & Poor's Security Dealers of North America

Ref [HG 4907 .S4](#)

## **Dividends**

Moody's Dividend and Annual Dividend Record

Ref [HG 4908 .M66x](#)

Standard & Poor's Annual Dividend Record

Ref [HG 4908 .S77](#)

## **Dow Jones Averages**

Dow Jones Averages, 1885 - 1995

Ref [HG 4915 .D6434](#)

## **Financial**

Moody's Manuals

[Microfiche collection 57](#)

Bank and Finance, Industrial, International, Municipal and Government, OTC Industrial, OTC Unlisted, Public Utilities, and Transportation.

Standard & Poor's Corporation Records

[Online Database](#)

Annual and Corporate Reports.

Microfiche copies of older "Fortune 500 companies" annual reports are available on 1<sup>st</sup> Floor Jones Library in microform cabinets near the periodical microfiche.

Current annual reports can be found on the following databases:

Mergent Online

Lexis Nexis Academic (under "Business")

## **Futures**

CRB Commodity Year Book

Moody OVZ [HC 14 .C6](#)

## **Industry**

Moody's Industry Review

Ref [HG 4961.M68](#)

A looseleaf service with weekly updates. MIR contains comprehensive statistical data for 4,000 companies. Companies in each industry are ranked by size in revenues or net income; profitability by operating margin and return on capital; market evaluation of the stock by price/earnings ratio and yield; and price behavior of the stock, by Moody's 7-year and 12 month price scores.

Standard and Poor's Analyst's Handbook

Ref Desk [HG 4519 .S772](#)

Standard and Poor's Industry Surveys

Ref Desk [HC 106.6 .S74](#)

The Industry Surveys is published annually and is updated quarterly. The publication contains the Basic Analysis and Current Analysis for each industry surveyed. The Basic Analysis is an annual overview of the industry and the Current Analysis, which is issued quarterly, provides a brief outlook for the industry. Industry Surveys also includes Trends and Projections and the Earnings Supplement. The S&P Industry Surveys are also available online through the *S&P Net Advantage* database on the library homepage under electronic resources.

U.S. Industry and Trade Outlook

Ref [HC 101 .U54 2000](#)

A handy volume for information on recent trends and outlook (for 5 years) in over 350 individual industries. The short narrative with statistics usually contains discussions of changes in supply and demand for each industry, developments in domestic and overseas markets, price changes, employment trends, and capital investment. Copies of the U.S. Industrial Outlook are also available in the Government Documents department as well as in the Social Science and Humanities reference area.

## **Pension Funds**

Directory of Pension Funds and Their Investment Managers

Ref [HG 4509 .M65](#)

## **Obsolete Securities**

Annual Guide to Stocks

Ref [HG 4512 .R4](#)

Directory of Obsolete Securities

Ref [HG 4961 .D56](#)

## **Statistics**

IRM Directory of Statistics of International Investments and Production

Ref [HG 4538 .D817](#)

Investment Statistics Locator

Ref [HG 4910 .C493x](#)

Standard and Poor's Statistical Service

Ref [HC 101 .S74a](#)

## **Unit Investments**

Standard and Poor's Unit Investment Trusts

Ref [HG 4930 .U5](#)

## **Other Sources**

### **Abstracts and Indexes**

Business Periodicals Index 1959-

Ref [Z 7164 .C81 B983](#)

Indexes articles in most business journals in such fields as accounting, public relations, management, etc.

BPI is arranged alphabetically by subject and each entry provides complete bibliographic information.

Companies are listed by name

Readers' Guide to Periodical Literature 1890 -

Ref [AI 3 .R48](#)

Wall Street Journal Index 1958 -

Ref [HG 1 .W26](#)

Wall Street Journal Index has two sections: 1) Corporate News and 2) General News. The Barron's Index was added to the Wall Street Journal Index in 1981.

### **Almanacs**

The Irwin Business and Investment Almanac

[HF 5003 .D68](#)

Business and Investment Almanac

Ref [HF 5003 .D68a](#)

### **Dictionaries**

International Dictionary of the Securities Industry

Ref [HG 4513 .V35](#)

Dictionary of Investing

Ref [HG 4513 .R67](#)

Wall Street Words: An Essential A to Z Guide for Today's Investor

Ref [HG 4513 .S37](#)

### **Directories**

Million Dollar Directory

Ref [HC 102 D8](#)

Public and private companies included in the Million Dollar Directory have a net worth of \$500,000. A Dun's company listing contains the company logo (if available), an indication of ownership (a black triangle by the company's name denotes public ownership), a reference to trade activity, a list of subsidiaries, company annual sales, product line and SIC code, name executives, company's addresses, etc.

Standard and Poor's Register of Corporations, Directors, and Executives Ref [HG 4057 .A4](#)  
S&P Register is arranged in three volumes: Volume I contains corporate listings; Volume II contains directors and executives ; and Volume III contains indexes which include a product index with SIC codes, a numerical division and SIC codes, a geographic index, corporate family index, obituary index, new executives and companies index.

Wards Business Directory 9 volumes

Ref [HG 4057 .A575](#)

Each entry in Wards includes the company name, company mailing address, phone number, chief executive, company type (private or publicly held), four digit SIC code, alpha description of industry, import/export status, year founded, total company sales, and number of employees.

## **Selected Databases**

[ABI/Inform \(ProQuest\)](#)

Indexes 1000 worldwide business periodicals -- many full text -- covering topics such as advertising, marketing, economics, human resources, finance, taxation, computers, etc. Also provides information on 60,000+ companies.

[Business & Company Resource Center \(GaleGroup-- InfoTrac\)](#)

Formerly General BusinessFile ASAP, this resource brings together in a single database company profiles, company brand information, rankings, investment reports, company histories, chronologies, and periodicals.

[Business Source Premier \(EBSCOHost\)](#)

Indexes scholarly business journals covering management, economics, finance, accounting, international business, and much more. About 80% of the journals are available with full text.

[Lexis/Nexis Academic](#)

The business component of Lexis-Nexis Academic UNIVERse includes company, country, financial, demographic, market research and industry reports. Approximately 120,000 new articles are added each day from worldwide newspapers, magazines, news wires and trade journals. Many of the resources found in Lexis/Nexis UNIVERse are available in full text.

[Mergent Online](#)

This online version of Moody's Manuals includes information on over 10,000 U.S. public companies, 11,000+ non-U.S. public companies, and 17,610 municipal entities, as well as extensive corporate and municipal bond, UIT, and dividend information.

[Standard & Poor's Net Advantage](#)

Online access to 9 of Standard & Poor's most popular publications: Stock Reports, Industry Surveys, Corporation Records, Register, Stock Guide, Bond Guide, Earnings Guide, The Outlook, and Dividend Record.

[Valueline](#)

Provides investment analysis reports for publically traded companies.

## **Glossary**

**Bond** – a certificate of contractual of debt

**Common Stock** – a security that represents ownership in a corporation

**Futures** – contracts covering the sale of commodities, options, or financials for future delivery executed on a regulated futures exchange

**Junk bond** – a slang term applied to corporate bond issues that are high speculative and carry a high degree of market risk

**Mutual fund** – an investment company that pools money from shareholders and invests in a variety of securities, including stocks, bonds, and money market securities

**Option** – a security position that gives the holder the right to buy or sell a fixed amount of shares – usually 100 – at a given price within a specified period of time. If the time period expires without the option being exercised, the investor loses the trade privileged and forfeits the money paid for it.

**Preferred stock** – a class of stock entitled to dividend payments – frequently expressed as a percentage of par value – before dividend payouts are made to common stockholders

**Unit investment trust** – a specialized kind of front-end load plan consisting of a package of bonds, either corporate or municipal. The investor buys units of bonds which cost \$1,000 each and in return receives a certificate attesting to ownership of so many units.